## Markets Technology & Compliance

## **Private Equity and Venture Investments Due Diligence**

Markets Technology & Compliance ("MTC") offers venture capital investors, angels and private equity evaluation of new technologies and markets to help minimize investment risk, understand merger & acquisition outcomes, and maximize success. Our diligence expertise focuses on companies and products in:

- Financial services
- Energy and utilities
- Electronic markets, trading, settlement, and exchanges
- High-speed trading and connectivity
- Regulatory compliance platforms and tools
- Software solution architectures

With our specialized background in legal & compliance, audit, electronic markets, and information technology solutions, MTC's consulting team has a diverse and deep analytical skillset for evaluating products and markets, technologies, management capability and operating models. Our assessment approaches incorporate identification, evaluation, and quantification of risk at every level. We have first-hand understanding of management approaches and operating techniques used by successful firms across the industry, and we incorporate best practices inside knowledge in our work.

Our product and company investment and mergers & acquisitions assessments include:

- Product technology capability and expansion risk assessment
- Organizational capability feedback
- Solution prototype evaluation
- Market dimension and readiness
- Competitor comparative assessment
- Operating models

## Why MTC

MTC applies structured approaches, combined with 20 years of markets, technology and compliance know-how gained from working with large trading organizations, asset managers, startups, software, and product development. Our team is experienced with developing and implementing products, and growing organizations in the evolving electronic markets. Our combination of hands-on diagnosis, regulatory awareness, markets expertise, and technology savvy make us a valuable complement to strategic investment due diligence efforts.

Through information technology, compliance and advisory services, Markets Technology & Compliance helps organizations achieve greater efficiency and value from project delivery and compliance initiatives.